

Sheet 1 of 2

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		ATTY DOCKET NO. 2004-0092	SERIAL NO. NEW 10/761,412
Date Submitted to PTO: January 22, 2004		APPLICANT Makoto IMANISHI et al.	
		FILING DATE January 22, 2004	GROUP 1725

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AM	AA	4,024,560	05/1977	Miller et al.			
	AB	4,518,848	05/1985	Weber			
	AC	6,544,377	04/2003	Minamitani et al.			
	AD	6,439,447	08/2002	Minamitani et al.			
	AE	6,329,640	12/2001	Narita et al.			
	AF	6,302,317	10/2001	Narita et al.			
	AG	6,506,222	01/2003	Minamitani et al.			
	AH	6,053,398	04/2000	Iizuka et al.			
AM	AI	4,846,623	07/1989	Otani et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES Abstract NO
AM	AJ	8-78418	03/1996	JP			Abstract
	AK	7-153763	06/1995	JP			Abstract
	AL	96/41109	12/1996	WO			
AM	AM	09-289241	04/1997	JP			Abstract
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Patent Abstracts of Japan, vol. 1996, no. 07, July 31, 1996 (7/31/96) & JP 08 078418 A (Toshiba Corp.), March 22, 1996 (3/22/96) - English Abstract
	AP	N. Miyazaki et al., "Thermal shock cracking of lithium niobate single crystal", Journal of Materials Science, Materials in Electronics, Chapman & Hall, Vol. 8, No. 3, pgs 133-138 (1997).
	AQ	

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J. H. H.

DATE CONSIDERED

10/30/2006

Sheet 2 of 2

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: January 22, 2004

ATTY DOCKET NO.
2004-0092SERIAL NO.
NEW

10 761,412

APPLICANT
Makoto IMANISHI et al.FILING DATE
January 22, 2004

GROUP 1725

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AM	AA	5,430,271	07/1995	Orgami et al.			
	AB	5,489,192	02/1996	Taniguchi			
	AG	5,664,254	09/1997	Ohkura et al.			
	AD	5,817,178	10/1998	Mita et al.			
AM	AE	5,848,868	12/1998	Suzuki et al.			
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	09-050957	02/1997	JP			X
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

10/30/2006

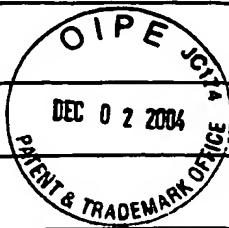
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: December 2, 2004

ATTY DOCKET NO.
2004-0092SERIAL NO.
10/761,412APPLICANT
Makoto IMANISHI et al.FILING DATE
January 22, 2004GROUP
1725

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AM	AJ	6-232132	8/1994	JP			Partial
AM	AK	64-12555	1/1989	JP			Partial
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

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Sheet 1 of 1

FORM PTO 1449 (modified)		ATTY DOCKET NO. 2004-0092	SERIAL NO. 10/761,412
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT Makoto IMANISHI et al.	
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		FILING DATE January 22, 2004	
Date Submitted to PTO: May 27, 2004		GROUP 1725	



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AM	AA	6,568,580	05/2003	Narita et al.			
AM	AB	6,494,358	12/2002	Narita et al.			
AM	AC	6,349,447	02/2002	Zimmer			
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	AH						
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

10/13/2006